Kenneth A. Goldberg, Esq.

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Attorneys For Plaintiffs

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK

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TASSO KOUMOULIS, CHRISTOS HATZIS,

DOMINIC MILITO, AND PETER DAFNIOTIS,: 10-cv-0887 (PKC) (VMS)

Plaintiffs, :

- against - CERTIFICATION OF PLAINTIFFS'
COUNSEL IN RESPONSE TO THE

COUNSEL IN KESTONSE TO THE

COURT'S MEMORANDUM AND ORDER DATED NOVEMBER 1, 2013

INDEPENDENT FINANCIAL MARKETING :

GROUP, INC., LPL FINANCIAL

CORPORATION, AND ASTORIA FEDERAL:

SAVINGS AND LOAN ASSOCIATION,

:

Defendants. :

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I, KENNETH A. GOLDBERG, of full age, hereby certify and say:

- 1. I am counsel for Plaintiffs in the above-captioned matter.
- 2. I make this certification pursuant to the Court's Memorandum And Order dated November 1, 2013 (Civil Docket Document Number 50), which was affirmed by the Court's Memorandum & Order dated January 21, 2014 (Civil Docket Document Number 60).
- 3. Plaintiffs have made a diligent and good faith effort to locate and produce all relevant and non-privileged documents, including e-mails, responsive to Defendants' request as referred to in Civil Docket Document Number 50.
- 4. A reasonable estimate of the number of privileged documents responsive to Defendants' request is less than 15.

- 5. I have re-reviewed the responsive attorney-client or work-product privileged documents.
- 6. The responsive privileged documents were not provided to persons other than the Plaintiffs and Plaintiffs' counsel.
- 7. No non-privileged documents responsive to Defendants' above request are being withheld.

Dated: New York, New York February 13, 2014

GOLDBERG & FLIEGEL LLP

/s/ Kenneth A. Goldberg

By:

Kenneth A. Goldberg 60 East 42nd Street, Suite 3520 New York, New York 10165 (212) 983-1077 Attorneys for Plaintiffs